APA-1

# TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control:	270			
Department or Agency:	Board of Dental Examiners of Alabama			
Rule No.:	270-X-509			
Rule Title:	Non-Disciplinary Administrative Penalties			
Intended Action	Amend			
Would the absence of the proposed rule significantly harm or				
Is there a reasonable relationship between the state's policeYesYYesYYAS				
Is there another, less restrictive method of regulation available No				
Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved?				
To what degree?: N/A				
Is the increase in cost more harmful to the public than the harm				
Are all facets of the rule-making process designed solely for the purpose of, and so they have, as their primary effect, the Yes				
Does the proposed action relate to or affect in any manner any litigation which the agency is a party to concerning the subject <u>No</u> matter of the proposed rule?				
Does the proposed rule have an economic impact?				
If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section $41-22-23$ , <u>Code of Alabama 1975</u> .				
Certification of Authorized Official				

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, <u>Code of Alabama 1975</u>, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Services Agency.

Signature of certifying officer

W. Blake	r Strickland	FIL ED
W. Blake	Strickland	n & FILLU
Saturday	December 7, 2024	D & FILED
		DEC 9, 2024
		TWE SVC AGENOT
	LEGISLA	TIVE SVC AGENCY

Date

#### BOARD OF DENTAL EXAMINERS OF ALABAMA

### NOTICE OF INTENDED ACTION

AGENCY NAME:	Board of Dental	Examiners of Alabama
RULE NO. & TITLE:	270-X-509 Penalties	Non-Disciplinary Administrative

INTENDED ACTION: Amend

### SUBSTANCE OF PROPOSED ACTION:

The Board proposes to amend its existing rule regarding non-disciplinary fines to clarify existing language.

# TIME, PLACE AND MANNER OF PRESENTING VIEWS:

All interested person may submit data, views, or arguments concerning the proposed rule in writing to: W. Blake Strickland, Executive Director, Board of Dental Examiners of Alabama, 2229 Rocky Ridge Road, Birmingham, AL 35216 by mail or in person between the hours of 9:00 a.m. and 5:00 p.m. Monday through Friday, until and including February 4, 2025. Persons wishing to submit data, view, or comments, should contact W. Blake Strickland by email (blake@dentalboard.org) during the comment period. Interested persons may also present comments at a public meeting/hearing to be held on February 13-14, 2025. Copies of proposed rules may be obtained at the Board's website, www.dentalboard.org.

### FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:

Tuesday, February 4, 2025

#### CONTACT PERSON AT AGENCY:

W. Blake Strickland, Executive Director Board of Dental Examiners of Alabama 2229 Rocky Ridge Road Birmingham, AL 35216

W. Blake Strickland

W. Blake Strickland

(Signature of officer authorized to promulgate and adopt rules or his or her deputy)

# 270-X-5-.09 Non-Disciplinary Administrative Penalties.

(1) In the absence of specific aggravating factors, the Board shall assess a non-disciplinary administrative penalty for the following violations:

(a) As to dentists only, any practice owner who allows a licensee in his or her employment to practice for no more than thirty (30) days who allow a licensee under his or her direct supervision to practice without a current annual registration and/or permit after October 1 and before November 1.

(b) As to dentists only, by failing to comply with the provisions of Code of Ala. 1975, \$34-9-15.1 (Patient Records).

(c) Failing to timely renew any license or permit required pursuant to the Alabama Dental Practice Act and performing activities which require the applicable license or permit for no more than thirty (30) days during the time the same was expired after October 1 and before November 1.

(d) Failing to comply with the provisions of Board Rule 270-X-4.04 (Mandatory Continuing Education For Dentists And Dental Hygienists), but only if the licensee pays the applicable penalty and cures the violation by earning all of the deficient hours by the December 31 immediately following the continuing education cycle in which the violation occurred.

(e) As to dentists only, failing to comply with the provisions of Board Rule 270-X-2-.20 (Reporting Of Adverse Occurrences).

(2) The non-disciplinary administrative penalty shall be \$500.00.

(3) If any licensee, having been assessed a non-disciplinary administrative penalty pursuant to this rule, fails to pay the assessed amount within thirty (30) days of notice thereof or by such other deadline as prescribed by the Board, the Board will set aside the non-disciplinary administrative penalty and initiate disciplinary action through formal contested case proceedings.

(4) A licensee shall not qualify for a non-disciplinary administrative penalty more than once in any five-year period. **Author:** Board of Dental Examiners of Alabama

**Statutory Authority:** <u>Code of Ala. 1975</u>, §§34-9-18(16), Act 2009-18.

History: New Rule: Filed July 24, 2009; effective August 28, 2009. Amended: Filed February 22, 2012; effective March 28, 2012. Amended: Filed May 7, 2014; effective June 11, 2014. Amended: Filed July 14, 2015; effective August 18, 2015. Amended: Filed December 13, 2018; effective January 27, 2019. Amended: Published April 30, 2024; effective June 14, 2024. Amended: Published ; effective .